



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James O'Keeffe #10  
Assignee: The Board of Trustees of the Leland Stanford Junior University  
Title: Band-Structure Modulation of Nano-Structures in an Electric Field  
Application No.: 09/994,534 Filing Date: Nov. 27, 2001  
Examiner: Fazli Erdem Group Art Unit: 2826  
Docket No.: 09/994,534 Conf. No.: 8198

Commissioner for Patents  
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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(c) WITH FEE**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicant(s) wish to call the following document (copy enclosed) to the attention of the Examiner for the above-identified patent application.

A form PTO-1449 listing this document is enclosed.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, a representation that a search has been made, (other than as indicated by any cited document); or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Attorney Docket No.: STAN.068US0

Application No.: 09/994,534

A check for \$180.00 for the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p), is enclosed as set forth in the accompanying transmittal letter.

Please charge any additional fees required and credit any overpayment to our Deposit Account No. 502664.

Respectfully submitted,



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September 8, 2003

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